### MOST IMMEDIATE/TIME BOUND

No. 6(1)/2014 – EO(PR)
Government of India
M/o Personnel, Public Grievances & Pensions
Department of Personnel & Training

North Block, New Delhi. Dated: 04.04.2016,

#### OFFICE MEMORANDUM

Subject:

Declaration of Assets and Liabilities by IAS officers under Section 44 of the Lokpal and Lokayuktas Act, 2013- regarding.

The undersigned is directed to refer to the Establishment Officer's D.O. No. 6(1)/2014-EO(PR) dated 25.07.2014(copy enclosed) wherein the procedure for online filing of the declaration of Assets and Liabilities by IAS officer under Section 44 of the Lokpal and Lokayuktas Act, 2013 on the Property Related Information System(PRISM) was explained in detail.

- Now, the AV Division of this Department vide their O.M. No. 407/12/2014-AVD-IV(B) dated 28.03.2016(copy enclosed) has issued the revised timelines for filling of returns which are mentioned as under:
  - i. The first return as on 1<sup>st</sup> August, 2014 under the Lokpal and Lokayuktas Act, 2013 should be filed on or before the 15<sup>th</sup> April, 2016.
  - ii. The next return as on 31<sup>st</sup> March, 2015 under the Lokpal and Lokayuktas Act, 2013 should be filed on or before the 15<sup>th</sup> April, 2016.
  - iii. The annual return as on 31<sup>st</sup> March, 2016 under the Lokpal and Lokayuktas Act, 2013 should be filed on or before the 31<sup>th</sup> July, 2016.
  - iv. The annual return for subsequent years as on 31<sup>st</sup> March every year should be filed on or before the 31<sup>st</sup> July of that year.
- 3. All the Ministries/Departments and the cadre controlling authorities in the State Governments are requested to take immediate steps to facilitate filling of returns by all IAS officers by strictly adhering to the timelines prescribed in para 2 above.

(Anand Madhukar) Director (ACC) Tele: 23092272

1. Secretary

All Ministries/Department of the Government of India (as per standard mailing list)

2. The Chief Secretary

All State Government /Administrators, UTs (as per standard mailing list)

### Copy to:

- i. The President's Secretariat, New Delhi.
- ii. The Prime Minister's Office, New Delhi.
- iii. The Cabinet Secretariat, New Delhi [Secretary(Co-ordination)]
- iv. The Rajya Sabha Secretariat, New Delhi.
- v. The Lok Sabha Secretariat, New Delhi.
- vi. Election Commission, New Delhi.
- vii. UPSC, New Delhi.
- viii. Central Vigilance Commission, New Delhi.
- ix. O/o Comptroller & Auditor General, New Delhi.
- x. Joint Secretary, UT, MHA, North Block New Delhi.

(Anand Madhukar) Director (ACC) Tele: 23092272

### भानु प्रताप शर्मा B 🔼 SHARMA

स्थापना अधिकारी ्ट्यौर अपर सचिव ESTABLISHMENT OFFICER & ADDL. SECRETARY

Tel.: 23092370 Fax: 23093142



D.O. No. 6(1)/2014 - EO(PR) भारत सरकार

कार्मिक और प्रशिक्षण विभाग कार्मिक, लोक शिकायत तथा पेंशन मंत्रालय नोर्थ ब्लाक, नई दिल्ली - 110001 GOVERNMENT OF INDIA DEPARTMENT OF PERSONNEL & TRAINING MINISTRY OF PERSONNEL, PUBLIC GRIEVANCES AND PENSIONS NORTH BLOCK, NEW DELHI - 110001

> Dated, the **25<sup>th</sup> July**, 2014 2 8 JUL 2014

Dear Chief Secretary,

In exercise of the powers conferred by Section 59 of the Lokpal and Lokayuktas Act, 2013, the Government of India has notified the rules to provide for furnishing of information and annual return containing declaration of assets and liabilities by public servants as on 31st day of March every year, to the competent authority, on or before the 31st day of July of that year under section 44 of the said Act. It is further provided that the public servants who have filed declarations, information and annual returns of property under the provisions of the rules applicable to such public servants shall file the revised declarations, information or as the case may be, annual returns as on the 1st day of August, 2014, to the competent authority on or before the 15th day of September, 2014.

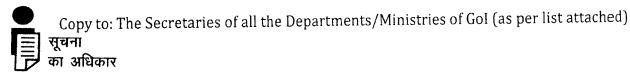
- 2. It has been decided by the Government that IAS officers would file the information related to assets and liabilities **online** as envisaged under the above rules. In this regard, an application, namely, Property Related Information System (PRISM) has been designed and would become operational w.e.f. 1.8.2014 to enable the officer to file the information and declaration online. The navigation tab for PRISM> 'Declaration' has been provided in the menu on Home Page of SPARROW. Since the application would be available in the SPARROW itself, no separate user ID and password would be required once the the officer accesses SPARROW by using his existing user ID and password.
- 3. After filing the declaration and information online, the officer would have to authenticate it by using the Digital Signature Certificate (DSC) already issued to him under SPARROW. A provision for 'Upload Form' has also been provided to enable the officer to scan and upload the declaration and information relating to assets and liabilities in case s/he does not have a DSC or is not able to use his DSC for any reasons. A 'User Manual' to facilitate filling up the forms has been provided in the help menu on the Welcome Page of SPARROW. In case of any difficulty, the officer could send an e-mail at <a href="mailto:support-sparrow@nic.in">support-sparrow@nic.in</a> or could call at the Help Desk No. 011-23093416.

Regards. Dept. of the second o

Yours sincerely,

(B.P.Sharma)

All Chief Secretaries of States/UTs (as per list attached)



# No. 407/12/2014-AVD-IV(B) Government of India Ministry of Personnel, Public Grievances and Pensions Department of Personnel and Training

North Block, New Delhi, 28th March, 2016

### Office Memorandum

Subject: Declaration of Assets and Liabilities by public servants under section 44 of the Lokpal and Lokayuktas Act, 2013 –filing of Returns by public servants on or before 15<sup>th</sup> April, 2016 - regarding

The undersigned is directed to refer to this Department's O.M. of even number dated 11<sup>th</sup> October, 2015 on the subject mentioned above whereby it was informed that the **last date** of furnishing of information relating to assets and liabilities by public servants under section 44 of the Lokpal and Lokayuktas Act, 2013 was extended upto 15.04.2016.

- 2. In this regard, it is stated that <u>there shall be no further extension of the aforesaid last date i.e. 15.04.2016.</u>
- 3. The formats to be used for submission of these returns to competent authorities have already been communicated to all concerned vide para-3 of OM of even number dated 18.03.2015. However, a copy of the same is enclosed for ready reference.
- 4. In this regard, it is informed that :
  - i. The first return <u>as on 1st August, 2014</u> under the Lokpal and Lokayuktas Act, 2013 should be filed <u>on or before the 15th April, 2016</u>
  - ii. The next return <u>as on 31st March, 2015</u> under the Lokpal and Lokayuktas Act, 2013 should be filed <u>on or before the 15th April, 2016</u>.
  - iii. The annual return <u>as on 31st March, 2016</u> under the Lokpal and Lokayuktas Act, 2013 should be filed <u>on or before 31st July, 2016</u>.

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- iv. The annual return for subsequent years as on 31st March every year should be filed on or before 31st July of that year.
- 5. All Ministries/Departments and cadre authorities are requested to kindly issue order towards ensuring compliance of above timelines by all officers and staff in the respective Ministry/Department/Organizations/PSUs under their control. This OM may be given wide publicity including publishing the same on the respective websites of Ministry/Department/Organization/PSU.

Encl: As above.

Jishnu Barua)
Joint Secretary to the Govt. of India

Tel. 23093591

To

1. Secretary

All Ministries/Departments of the Government of India (as per standard mailing list)

2. The Chief Secretary

All State Government/Administrators, UTs (as per standard mailing list) { It is also requested to place this OM on the State Government /UT Administration websites for information of AIS officers.}

Copy, with a request for similar action, forwarded to:

- (i) Secretary General, Lok Sabha
- (ii) Secretary General, Rajya Sabha
- (iii) Comptroller and Auditor General of India
- (iv) Secretary, Election Commission of India

### No.407/12/2014-AVD-IV-B Government of India Ministry of Personnel, Public Grievances & Pensions Department of Personnel and Training

North Block, New Delhi-110001. Dated the 18.03.2015.

### Office Memorandum

Subject: Declaration of Assets and Liabilities by public servants under section 44 of the Lokpal and Lokayuktas Act, 2013 – Clarification regarding formats to be used for filing returns under the Act.

The undersigned is directed to refer to this Department's DO letter of even No. dated 29<sup>th</sup> December, 2014 and the O.M. of even No. dated 13<sup>th</sup> January, 2015 regarding furnishing of information relating to assets and liabilities by public servants under section 44 of the Lokpal and Lokayuktas Act, 2013, forwarding therewith copies of the Central Government's notifications dated 26<sup>th</sup> December, 2014 containing —

- (a) Amendment to the Lokpal & Lokayuktas (Removal of Difficulties) Order, 2014, for the purpose of extending the time limit for carrying out necessary changes in the relevant rules relating to different services from "three hundred and sixty days" to "eighteen months", from the date on which the Act came into force, i.e., 16<sup>th</sup> January, 2014; and
- (b) The Public Servants (Furnishing of Information and Annual Return of Assets and Liabilities and the Limits for Exemption of Assets in Filing Returns) Amendment Rules, 2014, extending the time limit for filing of revised returns ( pl see proviso under sub- rule 2 of rule 3 of the principal rules) by all public servants from 31<sup>st</sup> December, 2014 to 30<sup>th</sup> April, 2015.
- 2. In this regard, it is clarified that :-
  - (i) The first return (as on 1<sup>st</sup> August, 2014) under the Lokpal Act should be filed on or before the 30<sup>th</sup> April, 2015;
  - (ii) The next annual return under the Lokpal and Lokayuktas Act, 2013 for the year ending 31<sup>st</sup> March, 2015 should be filed on or before 31<sup>st</sup> July, 2015; and
  - (iii) The annual return for subsequent years as on 31<sup>st</sup> March every year should be filed on or before 31<sup>st</sup> July of that year.

- 3. The following Assets & Liabilities Return forms (both in English and Hindi) are enclosed herewith as indicated below:-
  - A. Declaration to be filed with Return of Assets and Liabilities on First Appointment or as on the 31<sup>st</sup> March, 20...... (Under Sec 44 of the Lokpal and Lokayuktas Act, 2013.) [Appendix-I of the notification dated 14.07.2014].

<u>B.</u>

- (a) FORM No. I Details of Public Servant, his/ her spouse and dependent children[Appendix-II of the notification dated 14.07.2014].
- (b) Modified FORM No. II Statement of movable property on first appointment or as on the 31st March, 20...[Appendix-II of the notification dated 14.07.2014 as modified vide notification dated 26.12.2014].
- (c) FORM NO. III Statement of immovable property on first appointment or as on the 31st March, 20.... (e.g. Lands, House, Shops, Other Buildings, etc.) [Held by Public Servant, his/her spouse and dependent children] [Appendix-II of the notification dated 14.07.2014].
- (d) Modified FORM No. IV Statement of Debts and Other Liabilities on first appointment or as on 31st March, 20.......[Appendix-II of the notification dated 14.07.2014 as modified vide notification dated 26.12.2014].
- 4. It is requested to ensure that all officers and staff in your Ministry/Department/organizations file the said declarations/returns within the prescribed time-limits, in the afore-mentioned forms.

Enc:- As above.

(Jislinu Barua)

1. Secretary

All Ministries/Departments of the Government of India (as per standard mailing list)

2. The Chief Secretary

All State Governments/Administrators, UTs (as per standard mailing list)

Copy , with a request for similar action, forwarded to :

- (i) Secretary General, Lok Sabha
- (ii) Secretary General, Rajya Sabha
- (iii) Comptroller and Auditor General of India
- (iv) Secretary, Election Commission of India

Return of Assets and Liabilities on First Appointment or as on the 31 March, 20*  (Under Sec 44 of the Lokpal and Lokayuktas Act, 2013.)						
	1. Name of the Public servant in full					
2.(a)	Present public position held (Designation, name and address of organisation)					
<b>(</b> b)	Service to which belongs (if applicable)					
Declar	ration:					
the be	by declare that the return enclosed namelest of my knowledge and belief, in respectively ovisions of section 44 of the Lokpal and I	ly, Forms I to IV are complete, true and correct to it of information due to be furnished by me under Lokayuktas Act, 2013.				
Date.		Signature				
* In ca	ase of first appointment please indicate date	e of appointment.				
in his respect Lokpa (Secti makes author (a)	Ther own name or in the name of any of the of assets liabilities of spouse and depend and Lokayuktas Act, 2013. on 44(2): A public servant shall, within a s and subscribes an oath or affirmation to give the information relating to—	all assets and liabilities of the public servant either other person. The return should include details in indent children as provided in Section 44 (2) of the period of thirty days from the date on which he deter upon his office, furnish to the competent his dependent children are, jointly or severally, dependent children.)				
form Form exact where Note	roperties of the family either as a 'Karta'  No. III the value of his share in such provalue of such share, its approximate ver necessary.  3: "dependent children" means sons an	and undivided Family with co-parcenary rights in or as a member, he should indicate in the return in roperty and where it is not possible to indicate the value. Suitable explanatory notes may be added d daughters who have no separate means of a servant for their livelihood. (Explanation below 2013)				

## FORM No. I Details of Public Servant, his/ her spouse and dependent children

SL No.		Name	Public Position held, if any	Whether return being filed by him/her, separately
1	Self			
2	Spouse			
3	Dependent-1			
4	Dependent-2			
5.*	Dependent-3			

Date		Signature
* Add more rows, if necessary.		

### "FORM No. II

### Statement of movable property on first appointment or as on the 31st March, 20...

(Use separate sheets for self, spouse and each dependent child.)

b of public servant/spouse/dependent child:\_

No	Description	Remarks, if any
<b>å</b>	Cash and bank balance:	
(i)***	Insurance (premia paid):	<del></del>
	Fixed /Recurring Deposit(s):	<del> </del>
1	Shares/Bonds:	
Λ	Mutual Fund(s):	
/	Pension Scheme/Provident Fund	
	Other investments, if any:	
(iii)	Personal loans/advance given	
	to any person or entity	
	including firm, company, trust,	1
İ	etc. and other receivables from	
	debtors and the amount	
	(exceeding two months basic	
	pay or Rupees one lakh,	
	as the case may be):	
(iv)	Motor Vehicles	<del> </del>
	(Details of Make, registration	
	number, year of purchase	
1	and amount paid):	
(v)	Jewellery	
1 '	[Give details of approximate weight	
į l	(plus or minus 10 gms. in respect of	
	gold and precious stones; plus or minus	
	100 gms. in respect of silver).]	
	Gold:	1
	Silver:	
	Precious metals and precious stones:	
	Composite items:	<del> </del>
1 1	(indicate approximate value)***	1
(vi)	Any other assets [Give details of movable assets not covered in (i) to (v)	
	above]	
	,	
1 1	(a) Furniture	
1 1	(b) Fixtures	
[	(c) Antiques	
	(d) Paintings	
1	(e) Electronic equipments	
	(f) Others	
	[Indicate the details of an asset, only if the total current value of any	
	particular asset in any particular category (e.g. furniture, fixtures,	
	electronic equipments, etc.) exceeds two months' basic pay or Rs. 1.00	
	lakh, as the case may be.]	
		7.1

Date	Signature

<sup>\*</sup> Details of deposits in the foreign Bank(s) to be given separately.

<sup>\*\*</sup> Investments above Rs. 2 lakhs to be reported individually. Investments below Rs.2 lakhs may be reported together.

<sup>\*\*\*</sup> Value indicated in the first return need not be revised in subsequent returns as long as no new composite item had been acquired or no existing items had been disposed of, during the relevant year.";

### FORM NO. III

Statement of immovable property on first appointment or as on the 31<sup>st</sup> March, 20.... (e.g. Lands, House, Shops, Other Buildings, etc.)

1		1	1		[Held l	y Public S	Servant, his/he	r spouse an	d dependent children	.1		
The state of the s	SL. No.	Description of property (Land/ House/ Flat/ Shop/ Industrial etc.)	Precise location (Name of District, Division, Taluk and Village in which the property is situated and also its distinctive number, etc.)	Area of land (in case of land and, buildings)	Nature of land in case of landed property	Extent of interest	If not in name of public servant, state in whose name held and his/her relationship, if any to the public servant	Date of acquisition		Present value of the property (If exact value not known, approx value may be indicated)	Total annual income from the property	Remarks
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	1											
		<del></del>									:	1

Date	
	Signature

Note (1) For purpose of Column 9, the term "lease" would mean a lease of immovable property from year to year or for any term exceeding one year or reserving a yearly rent. Where, however, the lease of term, and the periodicity of the payment of rent.

"FORM No. IV

Statement of Debts and Other Liabilities on first appointment or as on 31<sup>st</sup> March,

20.....

Sl. No.	Debtor (Self/ Spouse or dependent children)	Name and address of Creditor	Nature of debt/ liability and amount	Remarks
1	2	3	4	5
: ! <u>!</u>				
				No.
: <u>. د د د د د د د د د د د د د د د د د د د</u>				
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<b>=</b> .	a.
Date	Signature

Note 1: Individual items of loans not exceeding two months basic pay (where applicable) and Rs. 1.00 lakh in other cases need not be included.

Note 2. The statement should include various loans and advances (exceeding the value in Note 1) taken from banks, companies, financial institutions, Central/State Government and from individuals.".